

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Randall K. Holmes et al.  
Serial No.: 09/806,370      Group Art No.: To be Assigned  
Filed: September 30, 1999      Examiner: To be Assigned  
For: Mutant Cholera Holotoxin as an Adjuvant  
Confirmation No.: 8568  
Customer Number: 25291

Commissioner for Patents  
Washington, DC 20231

October 3, 2001

Sir:

AMENDMENT TRANSMITTAL LETTER

1. Enclosed please find the following documents for the above-identified application:

Preliminary Amendment

**CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number ET334776318US addressed to the Commissioner for Patents, Washington, DC 20231.

Date

10/3/01

*Alan M. Gordon*  
Alan M. Gordon

## 2. Fee calculation

CLAIMS AS AMENDED					
(1)  FOR	(2)  CLAIMS REMAINING AFTER AMENDMENT	(3)  HIGHEST NUMBER PAID FOR	(4)  NUMBER EXTRA x RATE		(5)  ADDITIONAL FEE
TOTAL CLAIMS	36	27	9	x \$ 18.00	162.00
INDEPENDENT CLAIMS	5	9	0	x \$ 80.00	0.00
MULTIPLE DEPENDENCY FEE				\$ 270.00	0.00
				<b>Total Amendment Fee:</b>	<b>\$162.00</b>

☒ Please charge Deposit Account No. 01-1300 for: \$162.00

The Commissioner is hereby authorized to charge any additional fees required by this paper, including the enclosed documents, and during the entire pendency of this application and to credit any excess amounts paid to Deposit Account No. 01-1300. A copy of this letter is enclosed for use by the Deposit Account Branch.

Respectfully submitted,



Alan M. Gordon  
Attorney for Applicants  
Reg. No. 30,637

American Home Products Corporation  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940-0874  
Tel. No. (845) 602-4636

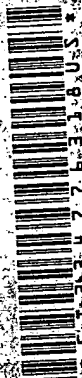


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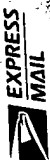
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Randall K. Holmes et al.  
Serial No. : 09/806,370  
Filed : September 30, 1999  
For : Mutant Cholera Holotoxin as an Adjuvant  
Examiner : To be Assigned  
Group Art Unit : To be Assigned  
Confirmation No.: 8568 Customer No.: 25291

October 3, 2001

BOX MISSING PARTS  
Hon. Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Sir:

This is in response to the Notification of Missing  
Requirements Under 35 U.S.C. 371 in the United States  
Designated/Elected Office (DO/EO/US) and the accompanying

~~~~~  
CERTIFICATION UNDER 37 C.F.R. 1.10

I hereby certify that this paper and the documents referred to as  
enclosed therein are being deposited with the United States Postal Service on  
the date written below in an envelope as "Express Mail Post Office to Addressee"  
Mailing Label Number ET334776318US addressed to the Commissioner for Patents,  
Washington, D.C. 20231.

10/3/01

Date

Alan M. Gordon

10/10/2001 SNAJARRO 00000019 011300 09806370

01 FC:154

130.00 CH

Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copies of both documents are enclosed). These Notifications were mailed August 3, 2001, and Applicants were given two months to respond. Thus, the deadline for a response is October 3, 2001.

This response is accompanied by the surcharge of \$130.00 in accordance with 37 C.F.R. 1.492(e). Please charge this amount to Deposit Account No. 01-1300. If any other fees are due, the Commissioner for Patents is hereby authorized to charge any such fees to Deposit Account No. 01-1300. A duplicate of this Response is enclosed.

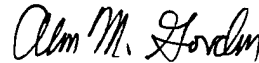
The Notification of a Defective Oath or Declaration states that an Oath or Declaration of the inventors must be furnished. In response, Applicants provide three (3) executed Combined Declarations and Powers of Attorneys: The first by inventors Randall K. Holmes and Michael G. Jobling; the second by inventors John H. Eldridge, Bruce A. Green and Gerald E. Hancock; and the third by inventor Joel A. Peek.

In response to the Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, Applicants

submit a computer readable form (diskette) of the Sequence Listing and a printed copy thereof. Applicants also submit a Statement Under 37 C.F.R. 1.821(g) that the contents of the diskette and the printed Sequence Listing are the same and contain no new matter.

Applicants respectfully submit that the submission of the three Combined Declarations and Powers of Attorney, the diskette and the Statement Under 37 C.F.R. 1.821(g) complete the requirements for this application to enter the national stage in the United States under 35 U.S.C. 371.

Respectfully submitted,



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Alan M. Gordon  
Registration No. 30,637  
American Home Products Corp.  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940  
(845) 602-4636  
Attorney for Applicants